

FABIAN MONCADA



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SKILLS

- Data Analysis
- Risk Management
- Regulatory Compliance
- Project Management
- Direct Services in Finance
- Control Operation Policies
- Quality Assurance



EDUCATION

Pontificia Universidad Javeriana

Bachelor's in Industrial Engineering – 2005
Colombia

Universidad de la Sabana

Post-Graduate Specialization in Finance & Capital Markets – 2009
Colombia

Drake University

Intensive English Program Student
Currently
USA



LANGUAGE PROFICIENCY

English & Spanish

Over 18 years of successful experience in risk management, primarily in the finance industry. Strengths in developing and implementing risk models, compliance guidelines, process improvement, as well as audits in various divisions of organizations. Known for being deeply intuitive in interpersonal relationships, guided by integrity and social responsibility, and driven to improve the risk and compliance experience in different economic sectors. Seeking an experience in international markets.



SAMPLE PROFESSIONAL EXPERIENCE

Fiduciaria Popular, S.A. – Risk Manager

June 2020 – July 2022

Bogotá, Colombia

- Primarily responsible for developing, implementing, and improving methodologies and procedures that reduced the Company's exposure to financial risks (i.e., market, liquidity, and credit) as well as non-financial risks (i.e., operational, information security, cybersecurity, and business continuity).
- Ensured that the development of risk management strategies was aligned with the Company's overall strategic objectives, including the generation and measure of Key Performance Indicators (KPI's) such as corporate statements, reporting to Presidency and Board of Directors.
- Produced and filed ongoing reports to inform senior management as well as the Board of Directors, Corporate, and Stakeholder Risk committees.
- Coordinated and oversaw the implementation of the Corporate Integrated Risk Model.
- Supported the audit process to offer improvements and determine the appropriate level of control for the company's risk environment.
- Supported the corporate inclusion process in risk portfolios assessment of managed portfolios including Environmental, Social, and Government (ESG) factors.
- Engaged in Sarbanes Oxley Act (SOX) compliance efforts to offer proof of accurate, data-secured, financial reporting.
- Managed quality assurance programs such as on-site evaluations, internal audits, and external customer guidelines by regulatory bodies.
- Managed, in collaboration with information technology, all security and cybersecurity vulnerabilities to close security gaps in areas of possible exposure, implementing risk-mitigation strategies.
- Developed and implemented the Business Continuity Plan (BCP), including processes such as the Business Impact Analysis (BIA), to ensure continuity of services during unplanned periods of disruption.
- Completed 100% of the compliance and risk management policies for internal and external entities.

Casa De Bolsa, S.A. – Risk Manager

January 2015 – August 2019

Bogotá, Colombia

- Responsible for developing and strengthening the risk management area structure and supporting internal and regulatory risk models and processes in order to comply with corporate controls.
- Optimized risk reports to Board directors and Risk Committees.
- Developed controls and operational procedures to improve process effectiveness.



CERTIFICATIONS

AMV Manager Certification (Stock Market Self-Regulator).



PROFESSIONAL MEMBERSHIPS

Asofiduciarias (Colombian Association of Trust Companies) Risk Technical Support Group Member.

Asobolsa (Colombian Association of Stock Broker Firms) Risk Committee Member

- Worked with Grupo Aval holding company to improve the control and risk environment across the entire enterprise.
- Performed SOX compliance (Sarbanes Oxley Act) in the treasury process to guarantee financial reporting as part of Grupo Aval Holding.
- Attended to reporting requirements from various internal and external regulatory bodies such as the Colombian financial regulator (SFC), the self-regulating body for capital markets (AMV), the Colombia stock exchange (BVC), and credit rating agencies.
- Created control methodologies across financial markets to establish appropriate trader behavior in order to prioritize investors rights.
- Completed 100% of internal and external risk management policies for financial risks as well as non- financial risks.

Credicorp Capital S.A - Market and Liquidity Manager
April 2009- January 2015
Bogotá, Colombia

- Integrated local and external risk methodologies with respect to market and liquidity in order to establish and develop best practices for managing them.
- Created methodologies and procedures to reduce institution's exposure to market and liquidity risks, including measurement models as value at risk (VaR) and liquidity gaps.
- Monitored and controlled market and liquidity risk exposure across investment portfolios including fix income, derivatives, stocks, and foreign markets.
- Led work team implementing risk management according to the organization's strategic plan.
- Unified regional market risk methodologies (i.e., Chile, Perú, Colombia) according to Credicorp corporate profile.
- Attended to control entity requirements relating to market and liquidity risk reflecting the company's risk exposure.
- Developed the senior management report structure to inform about current risk activities: exposure to and management of market and liquidity risk.
- Optimized operational market and liquidity risk processes searching for significant cost savings and effectiveness.
- Developed and implemented (80%) unified regional market risk methodologies (Chile, Perú, Colombia).
- Completed 100% market and liquidity risk stages (identifying, measuring, controlling, and monitoring).

Compañía De Profesionales De Bolsa S.A - Risk Manager Specialist
January 2003 – April 2009
Bogotá, Colombia

- Supported financial and non-financial risks management as part of an integrated risk system, focusing on operational risk development.
- Supported risk manager planning activities alignment with the company's strategic plans.
- Proposed methodologies and procedures to reduce exposure to financial risks (i.e., Market, Liquidity, Credit) as well as non-financial risks.
- Created and developed, as part of my bachelor's thesis, operational risk methodology in order to increase operation's effectiveness and regulatory compliance.
- Produced and sent reports to inform about current risk activities, assessment and management of exposures across the different investment portfolios"
- management and assessment exposure of the different investment portfolios.
- Monitored risk policy compliance of different company activities.
- Supported internal and external control entities requirements, including information between the company and the credit rating agencies as part of the annual rating program.
- Created and developed 100% operational risk methodology and procedures across the company.

